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| UTILITY PATENT APPLICATION TRANSMITTAL (only for continuation and divisional applications under 37 CFR 1.53(b)) | Docket No.: P-LJ 4494 Prior Application Info: Examiner: S. Ungar Group/Art Unit: 1642 |
|---|--|

Address to: COMMISSIONER FOR PATENTS
Box Patent Application
Washington, D.C. 20231

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09757041-010901

This is a request for filing a
_____ continuation X divisional

application under 37 CFR 1.53(b), of pending prior application
serial no. 08/349,357, filed on December 2, 1994 (list only
immediate prior application).

Title: **CD40 ASSOCIATED PROTEINS**

Inventor(s) (full name of each inventor): **John C. Reed**
Takaaki Sato

No abandonment of, or termination of proceedings, has occurred in
the above-identified prior application.

- X An application based on the prior application as filed
and containing no new matter is enclosed, consisting
of:
1 page application cover sheet
65 pages of specification (includes claims and
abstract)
6 sheets of drawing(s).
- X 3 pages of a copy of the oath or declaration as
originally filed on March 10, 1995, in prior
application (37 CFR 1.63(d)), U.S. serial no.
08/349,357, filed December 2, 1994, is enclosed.
- _____ A signed statement DELETING inventor(s) named in the
prior application (37 CFR 1.63(d)(2) and 1.33(b)) is
attached.
- X Nucleotide and/or Amino Acid Sequence Submission is
enclosed:
_____ computer readable copy of sequence listing
X paper copy of sequence listing, pages 57 through
65 as filed in as filed in serial no. 08/349,357
X Statement Under 37 CFR 1.821(f) and (g)
X Request to Use Computer Readable Form of Sequence
Listing From Another Application
_____ Other:

5. ☒ Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under item no. 2 of this form, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference herein.
6. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior application.
7. ☒ A preliminary amendment is enclosed as filed in as filed in serial no. 08/349,357.
8. Small entity status:
a. ☐ A small entity statement is enclosed.
b. ☒ A small entity statement was filed in prior application serial no. 08/349,357, and such status is still proper and desired.
c. ☐ is no longer claimed.
9. ☒ Amend the specification by:
☒ inserting before the first paragraph on page 1: or
☐ deleting the paragraph on page 1 regarding related applications and inserting therefor:

This application is a divisional of application serial no. 08/349,357, filed December 2, 1994.
10. ☒ Cancel in this application original claims 1-12 and 35-59 of the prior application before calculating the filing fee. (At least one original independent claims must be retained for filing purposes.)
11. The prior application is assigned of record to La Jolla Cancer Research Foundation.
12. The power of attorney in the prior application is to CAMPBELL & FLORES LLP, Cathryn Campbell, Reg. No. 31,815.
13. ☐ A copy of a change in power of attorney or authorization of agent as filed in the prior application (37 CFR 1.63(d)(4)) is enclosed.
14. ☒ A return receipt postcard is enclosed.
15. ☐ A Patent Application Bibliographic Data Sheet is enclosed.
16. ☐ Request for Nonpublication and Certification is enclosed.

08/349,357

17. Also enclosed:

The filing fee is calculated below:

| | NUMBER FILED | | NUMBER EXTRA | | RATE | FEE |
|--|-----------------|---|-----------------|---|----------------|----------|
| TOTAL CLAIMS | 22 - 20 | = | 2 | x | \$9 \$18 | 18.00 |
| INDEPENDENT CLAIMS | 2 - 3 | = | 0 | x | \$40 \$80 | .00 |
| MULTIPLE DEPENDENT CLAIMS _____ YES _____ <u>x</u> NO | | | | | \$135 \$270 | .00 |
| BASIC FEE | | | | | \$355 \$710 | 355.00 |
| TOTAL FEE | | | | | | \$373.00 |


18. X A check in the amount of \$373.00 is enclosed. A duplicate copy of this sheet is enclosed.
19. _____ The filing fee is not enclosed. Do not charge our deposit account.
20. x The Commissioner is hereby authorized to charge fees under 37 CFR 1.16 and 1.17 which may be required or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Address all future communications to:

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4370 La Jolla Village Drive, 7th Floor
San Diego, California 92122
telephone: (858) 535-9001
facsimile: (858) 535-8949
USPTO CUSTOMER NO. 23601

Respectfully submitted,

Date: January 9, 2001


Robert T. Ramos
Registration No. 37,915
Registration No.
Attorney for Applicant
CAMPBELL & FLORES LLP
4370 La Jolla Village Drive
7th Floor
San Diego, California 92122

_____ inventor(s) X attorney or agent of record
_____ assignee of complete _____ filed under Sec. 1.34(a)
interest

Document: DECLARATION AND POWER OF
ATTORNEY
Attorney Docket No: P-LJ 4494

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Lijan Puthuvalil

Printed Name of Person Mailing Paper or Fee

A handwritten signature in cursive script, appearing to read "L. Puthuvalil", written over a horizontal line.

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